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GP 288 <sup>5</sup>/<sub>a</sub>  
PATENT  
8-40-C

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Alexander Ksendzov  
Serial No. : 10/057,427  
Filed : January 25, 2002  
Title : Ring Resonator Based Narrow-Linewidth Semiconductor Lasers  
Group/Division : 2881  
Examiner : Not Assigned  
Our Reference : 0007975-0032

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**CERTIFICATE OF MAILING**  
(37 C.F.R. § 1.8A)

I hereby certify that this correspondence is, on the date shown below, is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Jose Ramos

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. §1.56 AND §§1.97-1.98**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Commissioner:

The citations listed on the enclosed PTO-1449 Form are submitted under 37 C.F.R. §§1.97 and 1.98, and in compliance with the duty of disclosure as defined in 37 C.F.R. §1.56. This Information Disclosure Statement is being submitted before receipt of the first Office Action for the above-identified application. Consequently, pursuant to 37 C.F.R. §1.97, no fee or certification is required. However, in the event that the first Office Action was mailed before the mailing of this Statement, the Commissioner is hereby authorized to charge the fee set forth in 37 C.F.R. §1.17(p) to deposit account No. 50-1215 to cover this fee.

This Information Disclosure Statement Under 37 C.F.R. §1.56 is not to be construed as a representation that any of the listed citations establishes, by itself or in combination with other information, a *prima facie* case of unpatentability of any claim in the above-identified application. Additionally, this Information Disclosure Statement is not to be construed as a

Information Disclosure Statement  
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representation that a further search of the art has been made by Applicants, or that additional information relevant to the examination of this application does not exist unbeknownst to Applicants.

Applicant's undersigned attorney hereby certifies, in accordance with 37 C.F.R. § 1.97(e)(1), that the above information was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this statement.

Respectfully submitted,

COUDERT BROTHERS LLP

Date: August 6, 2002

By: 

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